## Simulation and Parameter Estimation for $C_3$ photosynthesis

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April 20, 2010

#### Abstract

Simulation of  $C_3$  photosynthesis and estimation of parameters.

#### 1 Simulating Photosynthesis

The package has only two functions at the time. The first one of interest is c3photo. Let us see what the arguments for this function are

Qp is the quantum flux, T1 is the temperature of the leaf, RH is the relative humidity, vcmax is the maximum rate of carboxylation, jmax is the maximum rate of electron transport, Rd is the dark respiration, Catm is the atmospheric  $CO_2$  concentration, O2 is the atmospheric oxygen concentration, b0 is the intercept of the Ball-Berry model, b1 is the slope of the Ball-Berry model for stomatal conductance and theta is the curvature parameter for the light response. For more information see the function documentation (i.e. ?c3photo).



Figure 1: Assimilation response to light levels for different temperatures (in Celsius). Each panel is a different level of temperature. The two lines within a panel show different values for Vcmax.

### 2 Optimizing Parameters for a single A/Ci curve

The other function of interest is Opc3photo.

The data argument should be the observed assimilation data. One example is the built-in dataset simA100.

```
> data(simA100)
> head(simA100)
                 Qp Tl RH
         Assim
                                   Ci
                                         Vcmax
                                                   Jmax
                                                              Rd Catm
[1,] -0.6944929 1500 25 0.7 4.653977 90.80678 205.9745 2.311849
                                                                    0
[2,] -0.6944929 1500 25 0.7 9.653877 90.80678 205.9745 2.311849
                                                                    5
[3,] -0.6944929 1500 25 0.7 14.653877 90.80678 205.9745 2.311849
                                                                   10
[4,] -0.6944929 1500 25 0.7 24.653877 90.80678 205.9745 2.311849
                                                                   20
[5,] -0.6944929 1500 25 0.7 34.653877 90.80678 205.9745 2.311849
                                                                   30
[6,] 0.4378667 1500 25 0.7 52.389158 90.80678 205.9745 2.311849
                                                                   50
```

The dataset contains more than is needed to run Opc3photo. We know that this dataset was simulated and that the 'true' values for Vcmax, Jmax, and Rd are 90.8, 206, and 2.31 respectively. Can we recover them from the data alone?

```
> Opc3photo(simA100[, 1:5], Catm = simA100[, 9], curve.kind = "Ci",
      op.level = 2)
Optimization of C3 photosynthesis
                 95 %
                         Conf Int
         best
                lower
                         upper
Vmax
        90.66
                 90.1
                          91.2
Jmax
       205.67
                204.8
                         206.5
Rd
         0.65
                  0.6
                           0.7
Corr Vmax and Jmax: 0.2635003
Resid Sums Sq: 0.083576
Convergence: YES
```

This is fabricated data and the function works even if the variance seems to be close zero. We can try a slower, less accurate method first to get starting values as well. And suppress the computation of the hessian.

```
> op100 <- Opc3photo(simA100[, 1:5], Catm = simA100[, 9], method = "SANN",
+ hessian = FALSE, curve.kind = "Ci", op.level = 2)
```

now we can use this values as starting values. If we do not specify the optimization method it will use the default used by the optim function which is "Nelder-Mead" (see ?optim).

```
> op100 <- Opc3photo(simA100[, 1:5], Catm = simA100[, 9], ivcmax = op100$bestVmax,
      ijmax = op100$bestJmax, iRd = op100$bestRd, curve.kind = "Ci",
      op.level = 2)
> op100
Optimization of C3 photosynthesis
                 95 %
                        Conf Int
        best
                lower
                        upper
Vmax
        90.66
                 90.1
                         91.2
       205.67
                204.8
                        206.5
Jmax
Rd
         0.65
                  0.6
                          0.7
Corr Vmax and Jmax: 0.2635042
Resid Sums Sq: 0.08357593
Convergence: YES
```

The small confidence intervals are a result of using fabricated data. We can examine the quality of the fit by plotting the residuals. The option **resid** is used to plot 'raw' residuals as opposed to standardized.

> plot(op100, resid = "raw")

The residuals show one outlier, but the deviations are small. The option plot.kind is used to plot the observed vs. fitted.

```
> plot(op100, plot.kind = "OvsF")
> plot(op100, plot.kind = "OandF", type = "o")
```

This function can optimize photosynthesis considering assimilation and intercellular  $CO_2$  both as outputs of the model, but this should be done only for 'slow-measured' curves. For  $A/C_i$  curves the values of atmospheric  $CO_2$ should also be supplied. The fifth column with  $C_i$  values is optional. This allows this optimization function to at least attempt to optimize any type of photosynthesis data including diurnals, temperature response functions and A/Q curves as well. Not all data are suitable to estimate the three parameters shown here, so the optimization level could also be adjusted.



Figure 2: Raw residuals for op100



Figure 3: Observed vs. fitted for the optimization on the simulated data.



Figure 4: Observed and fitted for the optimization on the simulated data.

# **3** Optimizing Parameters for multiple $A/C_i$ curves

A wrapper function for Opc3photo called mOpc3photo can be used to optimize multiple  $A/C_i$  curves which are considered multiple 'runs'. An example dataset is included.

```
> data(simAssim)
```

```
> head(simAssim)
```

```
run
            Assim
                    Qp Tl RH
                                     Ci
                                           Vcmax
                                                     Jmax
                                                                Rd Catm
      1 -2.326939 1500 25 0.7 4.653977 105.8553 197.6750 2.311849
                                                                      0
[1,]
     1 -2.326939 1500 25 0.7 9.653877 105.8553 197.6750 2.311849
[2,]
                                                                      5
     1 -2.326939 1500 25 0.7 14.653877 105.8553 197.6750 2.311849
[3,]
                                                                     10
     1 -2.326939 1500 25 0.7 24.653877 105.8553 197.6750 2.311849
                                                                     20
[4,]
      1 -2.326939 1500 25 0.7 34.653877 105.8553 197.6750 2.311849
                                                                     30
[5,1
[6,]
      1 -1.069772 1500 25 0.7 52.139543 105.8553 197.6750 2.311849
                                                                     50
```

These has more than we need, but it contains the 'true' values used to generate the data so that we can later see if the optimization method can recover the 'true' values of the parameters. For the optimization we need this format.

```
> simAssim2 <- cbind(simAssim[, 1:6], Catm = simAssim[, 10])</pre>
> head(simAssim2)
    run
            Assim
                    Qp Tl RH
                                      Ci Catm
[1,] 1 -2.326939 1500 25 0.7 4.653977
                                            0
     1 -2.326939 1500 25 0.7 9.653877
[2,]
                                            5
     1 -2.326939 1500 25 0.7 14.653877
[3,1
                                           10
      1 -2.326939 1500 25 0.7 24.653877
[4, ]
                                           20
[5,]
      1 -2.326939 1500 25 0.7 34.653877
                                           30
      1 -1.069772 1500 25 0.7 52.139543
                                           50
[6,]
> parms <- simAssim[seq(1, 3600, 12), 7:9]
```

The 'true' parameters were stored in the parms object. Now we can run the mOpc3photo function.

```
> op.all <- mOpc3photo(simAssim2, op.level = 2)</pre>
> op.all
Number of runs: 300
Number converged: 299
                           min
             mean
                                         max
vmax
        98.779988
                     81.811349
                                  107.647065
       205.681674
                    182.209486
                                  236.321901
jmax
Rd
        2.206139
                      1.732995
                                    2.580131
```

For the initial run we know that 1 runs did not converge, but this is expected as what we want with this first optimization is to get good starting values. If some of them did not converge we could replace missing values with the mean of each parameter, but this is not needed here.

```
> colm <- apply(op.all$mat[, 2:4], 2, mean, na.rm = TRUE)</pre>
> ival <- op.all$mat[, 2:4]
> ival[is.na(ival[, 1]), 1] <- colm[1]</pre>
> ival[is.na(ival[, 2]), 2] <- colm[2]</pre>
> ival[is.na(ival[, 3]), 3] <- colm[3]</pre>
   Now we can run it again.
> op.all2 <- mOpc3photo(simAssim2, iVcmax = ival[, 1], iJmax = ival[,
+ 2], iRd = ival[, 3], op.level = 2)
> op.al12
Number of runs: 300
Number converged: 300
             mean
                            min
                                         max
        98.780335
                     81.812743
                                  107.649342
vmax
jmax
       205.681979
                    182.211809
                                  236.325520
                      1.732954
Rd
         2.206140
                                   2.580021
```

Some of them might not converge, in this case all of them did. We can examine if each optimization was able to recover the true values.

```
> plot(parms[, 1], op.all2$mat[, 2], ylim = c(70, 110), xlim = c(70,
+ 110), xlab = "Obs (true)", ylab = "Sim (est)", main = "Vcmax")
> abline(0, 1)
```

```
> plot(parms[, 2], op.all2$mat[, 3], xlab = "Obs (true)", ylab = "Sim (est)",
+ main = "Jmax")
> abline(0, 1)
```

```
> plot(parms[, 3], op.all2$mat[, 4], xlab = "Obs (true)", ylab = "Sim (est)",
+ main = "Rd")
> abline(0, 1)
```



Figure 5: Agreement between true and estimated values for Vcmax



Figure 6: Agreement between true and estimated values for Jmax



Figure 7: Agreement between true and estimated values for Rd